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1774  
P #

P. 502.A08

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Minoru SUZUKI

Appl No : 09/840,044

Filed : April 24, 2001

For : COLOR-IMAGE-FORMING MEDIUM

**Mail Stop Issue Fee**  
Group Art Unit: 1774  
**Confirmation No. 3696**  
Examiner: Bruce HESS

**REQUEST FOR CORRECTION OF  
INVENTORSHIP UNDER 37 C.F.R. 1.48(a)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the inventorship of the above-identified patent application be corrected to delete the following inventors who were originally named inventors through error, and without any deceptive intention on their part:

Kazuyuki SHIMBO; and

Yukio KUBOTA

Accordingly, only **Minoru SUZUKI** remains as an inventor in the present application.

This Request is accompanied by Statements of Kazuyuki SHIMBO and Yukio KUBOTA that the inventorship error occurred without deceptive intention on their respective parts; a new declaration executed by the inventor; the fee set forth in 37 C.F.R. 1.17(i); and the written consent of the Assignee.

If any additional fees are necessary for consideration of this Request, the Commissioner is authorized to charge any required fee to Deposit Account No. 19-0089. This letter is submitted for

05/27/2004 WHEKONEN 00000125 09840044  
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P20502.A08

this purpose.

Any questions regarding the change of inventorship can be directed to the undersigned at the below-listed telephone number.

Respectfully submitted,  
Minoru SUZUKI et al.

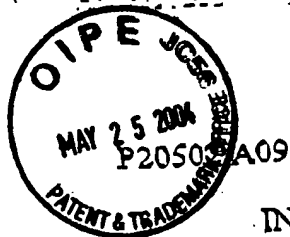
Will E. Lyddell Reg. No.  
Bruce H. Bernstein 41,568  
Reg. No. 29,027

May 25, 2004  
GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

2004年 5月24日 16時14分 ENTAX 松浦特許事務所 松浦 孝  
2004年 5月20日 13時10分 松浦特許事務所 孝

松浦

03-539 NO. 2646 P. 4# 4/ 11  
NO. 2585 P.P. 6



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Minoru SUZUKI

Appl No : 09/840,044

Filed : April 24, 2001

For : COLOR-IMAGE-FORMING MEDIUM

Mail Stop Issue Fee  
Group Art Unit: 1774  
Confirmation No. 3696  
Examiner: Bruce HESS

**STATEMENT OF KAZUYUKI SHIMBO IN SUPPORT OF REQUEST FOR  
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. 1.48(a)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, the undersigned, Kazuyuki SHIMBO of Japan, do solemnly declare, as follows:

1. That I am a currently named inventor of the above-identified U.S. Application No. 09/840,044;
2. That I did not contribute to the invention as claimed in the above-identified U.S. Application No. 09/840,044, and believe Minoru SUZUKI to be the sole inventor thereof; and
3. That the inventorship error of including me as an inventor of the above identified patent occurred without any deceptive intention on my part.

The undersigned further declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be

P20502.A09

true; and further, that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-captioned patent.

May 21, 2004  
Date

Kazuyuki Shimbo  
Kazuyuki SHIMBO

2004年 5月24日 16時13分

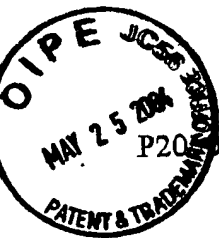
松浦特許事務所 松浦 幸

松浦

03-539 NO. 2646 P. 2/ 11

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NO.245 P.6



P2002.A10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Minoru SUZUKI

Appl No : 09/840,044

Filed : April 24, 2001

For : COLOR-IMAGE-FORMING MEDIUM

Mail Stop Issue Fee  
Group Art Unit: 1774  
Confirmation No. 3696  
Examiner: Bruce HESS

STATEMENT OF YUKIO KUBOTA IN SUPPORT OF REQUEST FOR  
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. 1.48(a)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, the undersigned, Yukio KUBOTA of Japan, do solemnly declare, as follows:

1. That I am a currently named inventor of the above-identified U.S. Application No. 09/840,044;

2. That I did not contribute to the invention as claimed in the above-identified U.S. Application No. 09/840,044, and believe Minoru SUZUKI to be the sole inventor thereof, and

3. That the inventorship error of including me as an inventor of the above identified patent occurred without any deceptive intention on my part.

The undersigned further declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be

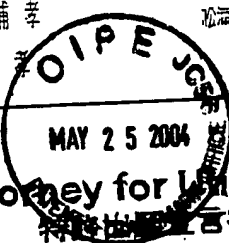
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P20502.A10

true; and further, that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-captioned patent.

May 24, 2004  
Date

Yukio Kubota  
Yukio KUBOTA



DocId: P20502.doc1.doc

# Declaration and Power of Attorney for Utility or Design Patent Application

## Japanese Language Declaration

私は、下欄に氏名を記載した発明者として、以下のとおり宣言する：

私の住所、郵便の宛先および国籍は、下欄に氏名に続いて記載したとおりであり、

名称の発明に関し、請求の範囲に記載した特許を求める主題の本来の、最初にして唯一の発明者である（一人の氏名のみが下欄に記載されている場合）か、もしくは本来の、最初にして共同の発明者である（複数の氏名が下欄に記載されている場合）と信じ、

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
**COLOR-IMAGE-FORMING MEDIUM**

上記発明の明細書（下記の欄で X 印がついていない場合は、本書に添付）は、

☐ 年 月 日に提出され、米国出願番号 \_\_\_\_\_ とし、（該当する場合）

年 月 日に訂正されました。又は、

特許協定条約国際出願番号 \_\_\_\_\_ とし、  
（該当する場合） 年 月 日に訂正されました。

the specification of which is attached hereto unless the following box is checked:

☒ was filed on April 24, 2001 as United States Application Number 09/840,044 and was amended on \_\_\_\_\_ (if applicable) or,

PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

私は、前記のとおり補正した請求の範囲を含む前記明細書の内容を検討し、理解したことを陳述する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第 37 編第 1 条 56 項に定義されているとおり、特許資格の有無について重要な情報を開示すべき義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

私は、合衆国法典第 35 節第 119 条 (a-d) 項又は第 365 条 (b) 項に基づき、下記の外国特許出願又は発明者証出願、或いは第 365 条 (a) 項に基づき、少なくとも米国以外の 1 か国を指名した PCT 国際出願の外国優先権を主張し、更に優先権の主張に保たれる発明の出願日前の出願日を有する外国特許出願、又は発明者証出願であるいは PCT 国際出願を以下に「なし」の欄に印をつけることにより明記する：

I hereby claim foreign priority under Title 35, United States Code §119(a-d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States, listed below. I have also identified below, by checking the "No" box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

Prior foreign applications  
先の外国出願

P2000-133773  
(Number)  
(番号)

Japan  
(Country)  
(国名)

02/May/2000  
(Day/Month/Year Filed)  
(出願の年月日)

☒ ☐  
Yes No  
あり なし

P2001-039133  
(Number)  
(番号)

Japan  
(Country)  
(国名)

30/March/2001  
(Day/Month/Year Filed)  
(出願の年月日)

☒ ☐  
Yes No  
あり なし

☐ その他の外国特許出願番号は別紙の追補優先権欄にて記載する。

☒ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.



2004年 5月20日 13時11分

松浦特許事務所 松浦 孝

Docket: P20502.doc1.doc

## Japanese Language Utility or Design Patent Application Declaration

私は、合衆国法典第 35 部第 119 条(e)項に基づき、下記の合衆国仮特許出願の利益を主張する。

(Application No.)  
(出願番号)

(Application No.)  
(出願番号)

(Application No.)  
(出願番号)

☐ その他の合衆国仮特許出願番号は別紙の追補優先権欄にて記載する。

私は、合衆国法典第 35 部第 120 条に基づき下記の合衆国特許出願。又は第 365 条(e)項に基づく合衆国を指名した PCT 国際出願の利益を主張し、本願の請求の範囲各項に記載の主題が合衆国法典第 35 部第 112 条第 1 項規定の態様で、先の合衆国特許出願又は PCT 国際出願に開示されていない態様において、先の出願の出願日と本願の国内出願日又は PCT 国際出願日の間に有効となった連邦規則法典第 37 部第 1 章第 56 条に記載の特許要件に所要の情報を開示すべき要否を有することを認める。

(Application No.)  
(出願番号)

(Day/Month/Year Filed)  
(出願の年月日)

(Application No.)  
(出願番号)

(Day/Month/Year Filed)  
(出願の年月日)

☐ その他の合衆国又は国際特許出願番号は別紙の追補優先権欄にて記載する。

私は、ここに自己の知識に基づいて行った陳述が全て真実であり、自己の有する情報および信ずるところに従って行った陳述が真実であると信じ、さらに故意に虚偽の陳述等を行った場合、合衆国法典第 18 部第 1001 条により、罰金もしくは禁錮に処せられるか、またはこれらの刑が併科され、またかかる故意による虚偽による陳述が本願ないし本願に対して付与される特許の有効性を損なうことがあることを認識して、以上の陳述を行ったことを宣言する。

私、下記署名者は、ここに記載の米国弁護士または代理人に本出願に関し特許商標庁にて取られるいかなる行為に関して、同米国弁護士又は代理人が私に直接連絡なしに私の外国弁護士或いは法人代表者からの指示を受け取り、それに従うようここに委任する。この指示を出す者が変更の場合には、ここに記載の米国弁護士又は代理人にその旨通知される。

I hereby claim the benefit under Title 35, United States Code §119 (e) of any United States provisional application(s) listed below.

(Day/Month/Year Filed)  
(出願の年月日)

(Day/Month/Year Filed)  
(出願の年月日)

(Day/Month/Year Filed)  
(出願の年月日)

☐ Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(状況) (Status)  
(特許済み、係属中、放棄済み) (patented, pending, abandoned)

(状況) (Status)  
(特許済み、係属中、放棄済み) (patented, pending, abandoned)

☐ Additional U.S. or international application numbers are listed on a supplemental priority sheet attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from either his foreign patent agent or corporate representative, if any, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

2004年 5月24日 16時15分  
2004年 5月20日 13時11分

松浦特許事務所 松浦 孝  
松浦特許事務所 孝

松浦

03-53900 2070  
NCNO. 2585 P.P. 14

Docket #: P20502.dcl.doc

# Japanese Language Utility or Design Patent Application Declaration

委任状: 私は、下記発明者として、下記に明記された顧客番号を伴う以下の弁護士又は、代理人をここに委任し、本願の手続きを遂行すること並びにこれに関する一切の行為を特許商標庁に対して行うことを委任する。そして全ての通信はこの顧客番号宛に発送される。

顧客番号 7055

現在委任された弁護士は下記の通りである。

Neil F. Greenblum  
Bruce H. Bernstein  
James L. Rowland  
Arnold Turk  
Robert W. Mueller

Reg. No. 28,394  
Reg. No. 29,027  
Reg. No. 32,674  
Reg. No. 33,094  
Reg. No. 35,043

POWER OF ATTORNEY: As a named inventor, I hereby appoint the attorney(s) and/or agent(s) associated with the Customer Number provided below to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number.

CUSTOMER NUMBER 7055

The appointed attorneys presently include:

Stephen M. Roylee  
William E. Lyddon  
William Pizar  
Leslie J. Papernor

Reg. No. 31,296  
Reg. No. 41,568  
Reg. No. 33,630  
Reg. No. 33,329

Address: GREENBLUM & BERNSTEIN, P.L.C.  
1550 Roland Clarke Place  
Reston, VA 20191

Direct Telephone Calls to:

直接電話連絡先:

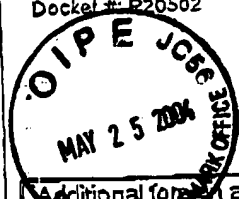
GREENBLUM & BERNSTEIN, P.L.C.  
(703) 716-1191

第一のまたは第一の発明者の氏名	Full name of sole or first inventor Minoru SUZUKI	
同発明者の署名	日付	Inventor's signature Date May. 20, 2004
住所	Residence Tochigi, Japan	
国籍	Citizenship Japan	
郵便の宛先	Post Office Address c/o PENTAX Corporation 38-9, Maenochi 2-chome, Itabashi-ku, Tokyo, Japan	
第二の共同発明者の氏名 (該当する場合)	Full name of second joint inventor, if any	
同第二共同発明者の署名	日付	Second inventor's signature Date
住所	Residence	
国籍	Citizenship	
郵便の宛先	Post Office Address	

(第三またはそれ以降の共同発明者に対しても同様な情報および署名を提供すること。)

(Supply similar information and signature for third and subsequent joint inventors.)

Docket # P20502



# Declaration - Supplemental Priority Data Sheet

## 特許出願宣言書 - 補足優先データ票

Additional foreign applications:

Prior Foreign Application Number(s) 先の外国特許出願番号	Country 国名	Foreign Filing Date (Day/Month/Year) 外国出願年月日	Priority Claimed 優先権の主張		Certified Copy Attached? 優先権証明書は送付されたか否か?	
			YES はい	NO いいえ	YES はい	NO いいえ
P2001-104428	JAPAN	03/April/2001	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional provisional applications:  
その他の合衆国仮特許出願

Application Number 出願番号	Filing Date (Day/Month/Year) 出願の年月日

Additional U.S. applications:  
その他の合衆国特許出願

U.S. Parent Application Number 合衆国親出願番号	PCT Parent Number PCT 親出願番号	Parent Filing Date (Day/Month/Year) 親出願年月日	Parent Patent Number (if applicable) 親特許番号 (該当する場合)

2004年 5月24日 16時14分

松浦特許事務所 松浦 孝

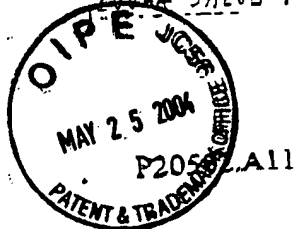
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103-539 NO. 2646 P. 05/11

2004年 5月20日 13時11分

松浦特許事務所 松浦 孝

NC NO. 2585 P. P. 10



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Minoru SUZUKI

Appl No : 09/840,044

Filed : April 24, 2001

For : COLOR-IMAGE-FORMING MEDIUM

Mail Stop Issue Fee  
Group Art Unit: 1774  
Confirmation No. 3696  
Examiner: Bruce HESS

ASSIGNEE'S CONSENT TO CORRECTION OF INVENTORSHIP

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir;

The undersigned, who is empowered to act on behalf of the actual assignee of the above-referenced U.S. patent application Ser. No. 09/840,044, represents that the assignee, PENTAX Corporation (by virtue of a Change of Name filed on January 26, 2004) is the owner of the entire right, title and interest of the above-referenced patent application, as evidenced by an assignment recorded on April 24, 2001 at Reel 011754, Frame 0723 and hereby consents to have the inventorship of the above-referenced patent application corrected by deleting as inventors, Kazuyuki SHIMBO and Yukio KUBOTA. Accordingly, only Minoru SUZUKI should properly remain as an inventor in the present application.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such

P20502.A11

willful false statements may jeopardize the validity of the above identified application or any patent  
issuing thereon.

Respectfully submitted,  
PENTAX Corporation

Date May 24, 2004

Name Ryota Ogawa  
Ryota OGAWA  
Title Director